LETTERS

Adaptive immunity maintains occult cancer in an equilibrium state

Catherine M. Koebel¹, William Vermi^{1,2}, Jeremy B. Swann^{3,4}, Nadeen Zerafa³, Scott J. Rodig⁵, Lloyd J. Old⁶, Mark J. Smyth^{3,4}* & Robert D. Schreiber¹*

The capacity of immunity to control and shape cancer, that is, cancer immunoediting, is the result of three processes¹⁻⁸ that function either independently or in sequence⁹: elimination (cancer immunosurveillance, in which immunity functions as an extrinsic tumour suppressor in naive hosts); equilibrium (expansion of transformed cells is held in check by immunity); and escape (tumour cell variants with dampened immunogenicity or the capacity to attenuate immune responses grow into clinically apparent cancers). Extensive experimental support now exists for the elimination and escape processes because immunodeficient mice develop more carcinogen-induced and spontaneous cancers than wild-type mice, and tumour cells from immunodeficient mice are more immunogenic than those from immunocompetent mice. In contrast, the equilibrium process was inferred largely from clinical observations, including reports of transplantation of undetected (occult) cancer from organ donor into immunosuppressed recipients¹⁰. Herein we use a mouse model of primary chemical carcinogenesis and demonstrate that equilibrium occurs, is mechanistically distinguishable from elimination and escape, and that neoplastic cells in equilibrium are transformed but proliferate poorly in vivo. We also show that tumour cells in equilibrium are unedited but become edited when they spontaneously escape immune control and grow into clinically apparent tumours. These results reveal that, in addition to destroying tumour cells and sculpting tumour immunogenicity, the immune system of a naive mouse can also restrain cancer growth for extended time periods.

To assess whether an equilibrium state can develop during primary tumourigenesis, groups of sex- and age-matched wild-type C57BL/6 or 129/SvEv mice were injected with a single low dose of the chemical carcinogen 3'-methylcholanthrene (MCA) (Fig. 1a) and monitored for the appearance of progressively growing sarcomas for the next 200 or 230 days, after which new tumour formation decreases precipitously in C57BL/6 and 129/SvEv mice, respectively (Supplementary Fig. 1). Mice developing progressively growing sarcomas during the aforementioned times were removed from the experiments. The remaining mice, which often displayed small stable masses at the site of MCA injection, were placed on weekly injections of either control monoclonal antibody or monoclonal antibodies that deplete or block specific immunological components, and thereafter monitored for the appearance of progressively growing tumours.

When two cohorts of wild-type C57BL/6 mice were treated with $25 \,\mu\text{g}$ MCA, 3/16 (19% cohort 1, Fig. 1b) and 4/19 (21%, cohort 2, Fig. 1c) developed progressively growing sarcomas by day 200 and were removed from the experiment. When the remaining mice in

cohort 1 were treated with control immunoglobulin, none developed additional tumours (Fig. 1b). In contrast, when the remaining mice in cohort 2 were treated with a mixture of monoclonal antibodies that deplete CD4⁺ and CD8⁺ cells and neutralize interferon-gamma (IFN γ), 60% (9/15) developed progressively growing sarcomas at the MCA injection site (Fig. 1c).

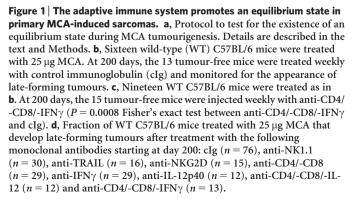
Variations of this experiment were repeated several times in two laboratories, using mice with either homogeneous C57BL/6 or 129/SvEv backgrounds. The results were in complete agreement and revealed that anti-CD4/CD8, anti-IFNy, or anti-IL-12p40 (which is critical for IFNy production) were equally effective in inducing sarcoma outgrowth and were not more effective when used in combination (Fig. 1d, and Supplementary Fig. 2). In total, of 187 mice treated with low-dose MCA, 86 (46%) developed progressively growing sarcomas following depletion of CD4/CD8 cells, IFNy and/or IL-12—components that participate in adaptive immunity. In contrast, tumour outgrowth did not occur when monoclonal antibodies were used that deplete natural killer cells (anti-NK1.1), block natural killer cell recognition (anti-NKG2D) or inhibit one natural killer cell effector function (anti-TNF-related apoptosis inducing ligand (TRAIL)) (n = 55) (Fig. 1d). These data suggest that adaptive immunity plays a particularly important part in preventing late MCA-induced sarcoma outgrowth.

Two possibilities could explain the late tumour outgrowth we observed on ablating adaptive immunity: either the treatment permitted delayed de novo transformation or it facilitated expansion of pre-formed occult cancer cells. To explore the former and further substantiate the selective importance of adaptive immunity in preventing late tumour outgrowth, experiments were conducted using mice lacking either recombination-activating gene (Rag)-1 or Rag2, which possess an intact innate immune compartment but lack lymphocytes that mediate adaptive immunity (that is, T cells, natural killer T cells and B cells). In stark contrast to the results obtained with wild-type mice, very few late-forming tumours were observed in $Rag1^{-/-}$ C57BL/6 mice exposed to 5 µg MCA (a dose that induces tumour outgrowth comparable to that in wild-type mice exposed to 25 µg MCA) and subsequently treated on day 200 with control immunoglobulin, anti-CD4/-CD8/-IFNy (Fig. 2a, b), or anti-NK1.1 (Fig. 2c). Very few late-forming tumors were also observed in $Rag2^{-/-}$ 129/SvEv mice treated with 25 µg MCA (so as to provide a carcinogenic insult comparable to that used for wild-type mice) and subsequently treated on day 230 with control immunoglobulin or anti-IFNy (to assess the effects of natural-killer-cell-produced IFNy on cells of innate immunity) (Fig. 2d). These results show that sarcoma formation in immunodeficient $Rag^{-/-}$ mice was essentially

¹Department of Pathology and Immunology, Washington University School of Medicine, St. Louis, Missouri 63110, USA. ²Department of Pathology, University of Brescia/Spedali Civili di Brescia, Brescia 25123, Italy. ³Cancer Immunology Program, Sir Donald and Lady Trescowthick Laboratories, Peter MacCallum Cancer Centre, East Melbourne, Victoria 3002, Australia. ⁴Department of Pathology, University of Melbourne, Parkville, Victoria 3010, Australia. ⁵Department of Pathology, Brigham and Women's Hospital Harvard Medical School, Boston, Massachusetts 02115, USA. ⁶Ludwig Institute for Cancer Research at Memorial Sloan Kettering Cancer Center, New York 10021, USA. *These authors contributed equally to this work.

complete within 200 days of MCA exposure. In addition, the mean time to tumour formation in MCA-treated $Rag1^{-/-}$ C57BL/6 mice (105 ± 5 (± s.e.m.) days) differed strikingly from that in MCA-treated wild-type mice rendered immunodeficient at day 200 using the anti-CD4/-CD8/-IFN γ mixture (25 ± 6 days) (Fig. 2e). Similar findings were made using 129/SvEv strain mice: 186 ± 7 days for MCA-treated $Rag2^{-/-}$ mice versus 38 ± 6 days for MCA-treated wild-type mice immunodepleted at day 230 (Fig. 2f). Taken together, these data argue strongly against continuous *de novo* transformation

Control nonoclonal antiboc а Anti-CD4/-CD8/-IFA 200/230 days 1.0 WT + clg h 0.8 0.6 0.4 0.2 Area (cm²) 0.0 С WT + anti-CD4/ 0.8 -CD8/-IFNγ 0.6 0.4 0.2 0.0 100 200 300 Time post MCA (days) 0.6 d Tumour-positive mice (fraction) 0.5 0.4 0.3 0.2 0.1 Anti-CD4 CD8 11-12 Anti-NKG2D ANTIONAL COBLINN 0.6 Anti-TRAIL MicDAI-CD8 Anti-IFNV Anti-NK1.1



as the mechanism underlying the late tumor outgrowth in wild-type mice after immunodepletion.

We therefore considered the possibility that at least some MCAtreated wild-type mice that remained free of progressively growing tumours harboured fully transformed sarcoma cells, the outgrowth of which was immunologically restrained. In the MCA model,

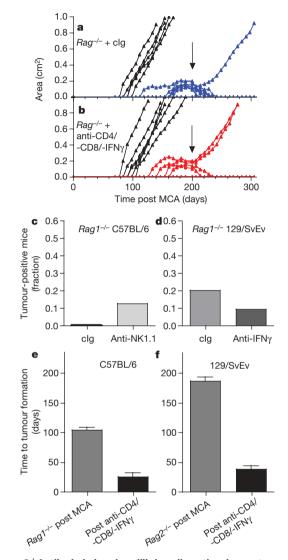


Figure 2 | Antibody-induced equilibrium disruption does not occur as a result of prolonged de novo transformation. Rag1^{-/-} C57BL/6 mice were injected with 5 µg MCA and, at day 200, 21 tumour-free mice were treated weekly with cIg (a), whereas 20 other tumour-free mice were treated with anti-CD4/-CD8/-IFN γ (b). Mice were monitored for the appearance of lateforming sarcomas. The difference between anti-CD4/-CD8/-IFNy and cIg groups is not significant (P = 0.616, Fisher's exact test). c, Thirty-two tumourfree $Rag1^{-/-}$ C57BL/6 mice, injected initially with 5 µg MCA, were treated weekly with either cIg (n = 16) or anti-NK1.1 (n = 16), starting at day 200 and monitored for sarcoma appearance. The difference between anti-NK1.1 and cIg groups is not significant (P = 0.484, Fisher's exact test). d, Twenty-seven tumour-free Rag2^{-/} $^-$ 129/SvEv mice, injected initially with 25 μg MCA, were treated weekly with either cIg (n = 15) or anti-IFN γ (n = 12) starting at day 230 and followed for sarcoma appearance. The difference between anti-IFN γ and cIg groups is not significant (P = 0.605, Fisher's exact test). **e**, Mean time to tumour formation \pm s.e.m. for Rag1^{-/-} C57BL/6 mice treated with 25 µg MCA (n = 11) or tumour-free WT C57BL/6 mice treated initially with 25 µg MCA and subsequently with anti-CD4/-CD8/-IFNy starting at day 200 (n = 8) (P = 0.0003, Mann–Whitney rank sum test). **f**, Mean time to tumour formation \pm s.e.m. for $Rag2^{-1/2}$ 129/SvEv mice treated with 25 µg MCA (n = 30) or tumour-free WT 129/SvEv mice treated initially with 25 µg MCA and subsequently with anti-CD4/-CD8/-IFN γ starting at day 230 (n = 30) (P < 0.0001, Mann–Whitney rank sum test).

cellular transformation and tumour development occur exclusively at the site of carcinogen injection. Examination of the injection site in 129/SvEv mice treated with 25 µg MCA revealed the presence of small 2-8 mm masses that became palpable within 150 days of MCA injection but did not change in size during an additional 150 days. When sectioned and analysed by haematoxylin and eosin staining, 47% (8/17) of the stable masses contained clusters of large cells showing variable degrees of atypia, with enlarged vesicular nuclei, prominent nucleoli and heterogeneous morphologies (Fig. 3a, c), and which also expressed vimentin (Fig. 3c, inset). These features mirrored the immuno-cytological spectrum observed in progressively growing primary MCA sarcomas (Fig. 3b, d). The remaining masses from MCA-treated wild-type mice showed variable degrees of inflammation associated with areas of dense fibrosis and lipid droplets (the oil diluent of the MCA) often surrounded by multinucleated giant cells (Supplementary Fig. 3a, c). The MCA injection site in $Rag2^{-/-}$ mice also contained fibrotic areas and lipid droplets but lacked both atypical cells and multinucleated giant cells (Supplementary Fig. 3b, d). Immunohistochemical staining of stable masses containing atypical cells from MCA-treated wild-type mice and growing primary MCA sarcomas from wild-type mice revealed the presence of CD3⁺ T cells, B220⁺ cells and F4/80⁺ mononuclear phagocytes infiltrating into regions containing atypical cells (Supplementary Fig. 4). When stable masses containing atypical cells were transiently cultured, a population of atypical fibroblast-like cells grew out that formed progressively growing tumours when transplanted into immunodeficient $Rag2^{-/-}$ mice (Fig. 3i). In contrast, normal skin fibroblasts from either MCA-treated mice (Fig. 3i) or untreated

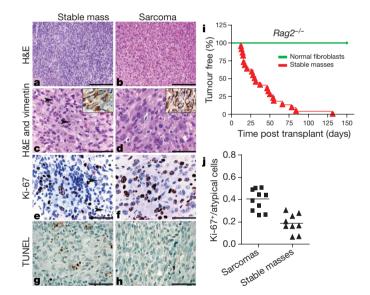


Figure 3 | Demonstration of occult cancer in immunocompetent mice in the equilibrium phase of cancer immunoediting. Sections from representative stable masses isolated from WT 129/SvEv mice treated with 25 µg MCA (a, c, e, g) and progressively growing sarcomas from MCA-treated WT 129/SvEv mice (**b**, **d**, **f**, **h**) stained for haematoxylin and eosin (H&E) (a, b, c, d), vimentin (inset in c and d), the proliferation marker Ki-67 (e, f) and the apoptosis marker TUNEL (g, h). In c and e, arrowheads indicate atypical cells. Magnification: 200 \times ; scale bars, 100 μ m (a and **b**), 600×; scale bars, 33 μ m (**c**–**f**) and 1,000×; scale bars, 20 μ m (**g** and h). i, Fraction of tumour-free $Rag2^{-1/-}$ 129/SvEv mice following subcutaneous injection with 10^6 normal skin fibroblasts (n = 1, diamonds) or 10⁶ cells isolated from long-term stable masses from MCA-treated WT 129/SvEv mice (n = 5, triangles). Each cell line was injected into four $Rag2^{-/-}$ recipients. j, Proportion of atypical cells staining positive for Ki-67 in formalin-fixed tissue from growing primary MCA-sarcomas from WT mice (n = 10, squares) or stable masses from MCA-treated WT 129/SvEv mice (n = 9, triangles) (P = 0.0003 Mann-Whitney ranked sum test,)difference between proliferation indices of tumour cells in stable masses versus growing primary MCA sarcomas).

wild-type mice (data not shown) did not form tumours in $Rag2^{-/-}$ mice. Thus, atypical cells from stable masses that formed in MCA-treated, wild-type mice were fully transformed.

These results contradict an earlier report stating that MCA treatment of wild-type mice leads to encapsulation of MCA crystals without apparent cellular transformation¹¹. However, the former study failed to characterize 'cells with altered morphology' that were noted in the MCA granulomas and that may have been identical to the transformed atypical cells that we describe herein. Moreover, MCA crystals are not observed in our mice, indicating that the MCA might not have been fully dissolved in the earlier study and therefore may have achieved extremely high *in vivo* concentrations resulting in rapid cellular transformation and tumour outgrowth, thereby obscuring detection of transformed cells, the growth of which was under immunologic restraint.

The paradox that stable masses from our MCA-treated immunocompetent mice often contained transformed cells but did not increase in size in vivo suggested that net tumour cell expansion was being immunologically restrained. This possibility was explored by comparing sections of stable masses containing atypical cells or progressively growing primary sarcomas for expression of markers of proliferation (Ki-67)^{12,13} (Fig. 3e, f) or apoptosis (TUNEL) (Fig. 3g, h). Analysis of 10 progressively growing MCA sarcomas revealed strong nuclear reactivity for Ki-67 in a high proportion of tumour cells (mean proliferation index = 40%) (Fig. 3f, j), and detectable levels of cellular apoptosis (Fig. 3h). This result is consistent with the high histological grade of these lesions shown by cellular pleiomorphism, numerous visible mitoses and abundant necrosis. In contrast, only a limited percentage of atypical cells in nine stable masses stained positively for Ki-67 (mean proliferation index = 18%) (Fig. 3e, j) and these samples also showed 1.7-fold more TUNEL staining than growing sarcomas (Fig. 3g). Of note, both sample sets contained populations of proliferating, Ki-67⁺ leukocytes. Thus, stable masses are characterized by a combination of increased apoptosis and decreased tumour cell proliferation.

The concept that the immune system could maintain tumours in a 'dormant' state originally stemmed from experiments involving transplantation of certain tumour cell lines into pre-immunized mice^{14,15}. In the current study, we show that immunity can restrain the outgrowth of occult tumour cells during primary tumour induction in naive mice and additionally demonstrate that the lack of net expansion of 'dormant' tumour cells in MCA-treated immunocompetent mice is most probably the result of a continuously ongoing combination of cytostatic and cytolytic immune effects. Therefore the term 'equilibrium' best describes the persistence of cancer that we observe in wild-type mice exposed to low-dose MCA.

Throughout these experiments we noticed that a small percentage of low-dose MCA-challenged wild-type mice, either left untreated, or treated with control monoclonal antibody, went on to develop lateappearing sarcomas (Fig. 1d, Supplementary Fig. 2). We therefore asked whether sarcoma cells that spontaneously escape equilibrium could be distinguished on the basis of immunogenicity from those remaining in equilibrium. As controls, we also confirmed the immunogenicities of MCA sarcoma cell lines generated in the current study that grew out with normal kinetics from MCA-treated wild-type mice (edited tumour cells) and $Rag2^{-/-}$ mice (unedited tumour cells). In agreement with previous reports¹, edited MCA-sarcoma cell lines from wild-type mice displayed dampened immunogenicity because they formed progressively growing tumours in either $Rag2^{-/-}$ or naive wild-type recipients (Fig. 4a, b, respectively), and unedited MCA sarcoma cell lines from $Rag2^{-/-}$ mice were highly immunogenic because, although they grew in $Rag2^{-/-}$ recipients (Fig. 4a), 40% were rejected in naive wild-type mice (Fig. 4b). Notably, tumour cells that had been maintained in equilibrium (that is, derived either directly from stable masses or from MCA-treated, wild-type mice that had undergone anti-CD4/-CD8/-IFNy-mediated equilibrium disruption) formed progressively growing tumours in $Rag2^{-/-}$ mice (Fig. 3i and 4a, respectively) but were highly immunogenic in wild-type mice because 51% and 31%, respectively, were rejected (Fig. 4b). In contrast, cell lines produced from late-forming sarcomas, which grew out spontaneously from mice in equilibrium, formed tumours when transplanted into either $Rag2^{-/-}$ mice or naive wild-type recipients (Fig. 4a, b, respectively). Thus, tumour cells held in equilibrium have an unedited phenotype, whereas those that spontaneously progress to the escape phase are edited. We therefore conclude that at least some tumour cell editing occurs at the temporal interface between equilibrium and escape.

In summary, in addition to the immune system's capacity to destroy and shape cancer, this study shows that immunity can also control cancer for long time periods by a process called equilibrium. We show that equilibrium is indeed a component of cancer immunoediting because tumour cells in equilibrium are highly immunogenic (unedited), whereas those spontaneously exiting equilibrium that become growing tumours have attenuated immunogenicity (edited)—results that place this process temporally between elimination and escape. We demonstrate that elimination and equilibrium can be mechanistically distinguished because, although the former requires the actions of both innate and adaptive immunity⁸, equilibrium is maintained solely by adaptive immunity. Similarly, we show

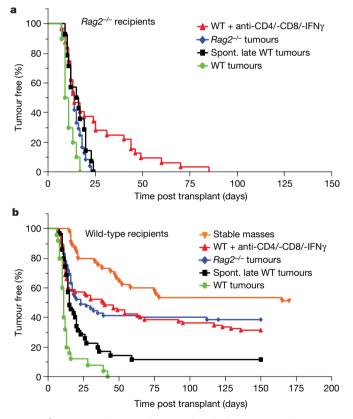


Figure 4 | Sarcoma cells in equilibrium show high immunogenicity, whereas those spontaneously exiting equilibrium have attenuated immunogenicity. a, Kaplan–Meier survival analysis of $Rag2^{-/-}$ 129/SvEv mice injected with sarcoma cell lines from classical progressively growing MCA-sarcomas isolated from WT (n = 5, circles) or $Rag2^{-/-}$ 129/SvEv mice (n = 13, diamonds) or isolated from tumours forming in MCA-treated WT 129/SvEv mice after anti-CD4/-CD8/-IFN γ -mediated equilibrium disruption (n = 16, triangles) or isolated from late-appearing tumours from MCA-treated WT 129/SvEv mice each b, Kaplan–Meier survival analysis as in a except that 5-member groups of naive WT 129/SvEv mice were used as the recipients for each cell line. Also tested were sarcoma cell lines grown directly out of stable masses from MCA-treated WT mice in equilibrium (n = 5, inverted triangles).

that equilibrium and escape are distinct because, whereas equilibrium represents a time of tumour cell persistence without expansion, escape is characterized by progressive tumour growth. We do not envisage that every tumour cell must pass through an elimination process before it enters equilibrium, nor do we hold that every progressively growing tumour must transit through an equilibrium process. However, we predict that many clinically apparent tumours may progress through a linear 'elimination-equilibrium-escape' continuum, and ongoing work is focused on obtaining molecular signatures of tumour cells in each step of this process. Nevertheless, our results confirm an important, but heretofore untested, prediction of the cancer immunoediting hypothesis-the existence of an equilibrium state-thereby providing additional support to the central premise of cancer immunoediting: that immunity can influence cancer development both quantitatively and qualitatively. Our findings in this mouse model also have potential relevance to human cancers. First, they indicate that maintaining cancer in an equilibrium state may represent a relevant goal of cancer immunotherapy in which augmentation of adaptive tumour immunity could result in improved tumour control. Second, they provide mechanistic underpinnings for the recent findings that the quality and quantity of the immune reaction within certain tumour types (for example, colorectal and ovarian cancers) are reliable prognostic indicators of cancer patient survival¹⁶⁻¹⁸. Third, they explain how occult cancer can be transplanted from organ donor to recipient¹⁰, because tumour cells held in equilibrium in the donor may grow in a recipient who is naive to the antigens of the transplanted tumour cells and is immunosuppressed. Fourth, they provide a mechanism that can explain the presence of occult tumour cells in organs-for example, the prostate—of individuals lacking clinical symptoms of disease^{19–22}. Finally, our study raises the possibility that at least some of the proposed tumour-promoting actions of chronic inflammation may be a result of interfering with adaptive immunity's capacity to hold occult cancers in equilibrium^{23,24}. Our results thus provide a foundation for future work to define the molecular mechanisms by which adaptive immunity maintains cancer in an equilibrium/dormant/ persistent state, perhaps paving the way for development of new therapeutic modalities to convert cancer into a controllable chronic disease.

METHODS SUMMARY

Wild-type or *Rag*-null mice were injected subcutaneously with low doses of MCA (Sigma Fine Chemicals), as described^{1,25}, and monitored for tumour development. After 200 days (C57BL/6) or 230 days (129/SvEv), MCA-treated mice bearing progressively growing tumours were removed from the experiment and the remaining mice were treated weekly with either control immunoglobulin or monoclonal antibodies that deplete or block specific immune components and were monitored for tumour development for the next 100 days. Tumour transplantation experiments were performed, as described previously^{1,25}. For morphologic and immunohistologic evaluation of primary growing sarcomas and stable masses, sections of formalin-fixed, paraffin-embedded tissue samples were evaluated after staining with haemotoxylin and eosin or monoclonal antibodies specific for either cell surface markers or the Ki-67 protein. Apoptosis was determined by TUNEL staining. The proliferation index is defined as the percentage of large, atypical, cells showing enlarged nuclei with prominent nucleoli that stained positively for Ki-67 in multiple high power (600×) fields.

Full Methods and any associated references are available in the online version of the paper at www.nature.com/nature.

Received 26 June; accepted 24 September 2007. Published online 18 November 2007.

- Shankaran, V. et al. IFNγ and lymphocytes prevent primary tumour development and shape tumour immunogenicity. Nature 410, 1107–1111 (2001).
- Dunn, G. P., Bruce, A. T., Ikeda, H., Old, L. J. & Schreiber, R. D. Cancer immunoediting: from immunosurveillance to tumor escape. *Nature Immunol.* 3, 991–998 (2002).
- Street, S. E., Trapani, J. A., MacGregor, D. & Smyth, M. J. Suppression of lymphoma and epithelial malignancies effected by interferon γ. J. Exp. Med. 196, 129–134 (2002).

- Smyth, M. J. et al. NKG2D recognition and perforin effector function mediate effective cytokine immunotherapy of cancer. J. Exp. Med. 200, 1325–1335 (2004).
- Dunn, G. P., Old, L. J. & Schreiber, R. D. The immunobiology of cancer immunosurveillance and immunoediting. *Immunity* 21, 137–148 (2004).
- Dunn, G. P. et al. A critical function for type I interferons in cancer immunoediting. Nature Immunol. 6, 722–729 (2005).
- Dunn, G. P., Old, L. J. & Schreiber, R. D. The three Es of cancer immunoediting. Annu. Rev. Immunol. 22, 329–360 (2004).
- Smyth, M. J., Dunn, G. P. & Schreiber, R. D. Cancer immunosurveillance and immunoediting: the roles of immunity in suppressing tumor development and shaping tumor immunogenicity. *Adv. Immunol.* 90, 1–50 (2006).
- Dunn, G. P., Koebel, C. M. & Schreiber, R. D. Interferons, immunity and cancer immunoediting. *Nature Rev. Immunol.* 6, 836–848 (2006).
- MacKie, R. M., Reid, R. & Junor, B. Fatal melanoma transferred in a donated kidney 16 years after melanoma surgery. *N. Engl. J. Med.* 348, 567–568 (2003).
- Qin, Z., Kim, H. J., Hemme, J. & Blankenstein, T. Inhibition of methylcholanthreneinduced carcinogenesis by an interferon γ receptor-dependent foreign body reaction. J. Exp. Med. 195, 1479–1490 (2002).
- Brown, D. C. & Gatter, K. C. Ki67 protein: the immaculate deception? Histopathology 40, 2–11 (2002).
- Bullwinkel, J. et al. Ki-67 protein is associated with ribosomal RNA transcription in quiescent and proliferating cells. J. Cell. Physiol. 206, 624–635 (2006).
- Weinhold, K. J., Goldstein, L. T. & Wheelock, E. F. Tumour-dormant states established with L5178Y lymphoma cells in immunised syngeneic murine hosts. *Nature* 270, 59–61 (1977).
- Siu, H., Vitetta, E. S., May, R. D. & Uhr, J. W. Tumor dormancy. I. Regression of BCL1 tumor and induction of a dormant tumor state in mice chimeric at the major histocompatibility complex. J. Immunol. 137, 1376–1382 (1986).
- Galon, J. et al. Type, density, and location of immune cells within human colorectal tumors predict clinical outcome. Science 313, 1960–1964 (2006).
- Ohtani, H. Focus on TILs: Prognostic significance of tumor infiltrating lymphocytes in human colorectal cancer. *Cancer Immun.* 7, 4–12 (2007).
- Sato, E. et al. Intraepithelial CD8⁺ tumor-infiltrating lymphocytes and a high CD8⁺/regulatory T cell ratio are associated with favorable prognosis in ovarian cancer. Proc. Natl Acad. Sci. USA 102, 18538–18543 (2005).

- Sakr, W. A. & Partin, A. W. Histological markers of risk and the role of high-grade prostatic intraepithelial neoplasia. Urology 57, 115–120 (2001).
- Welch, H. G. & Black, W. C. Using autopsy series to estimate the disease "reservoir" for ductal carcinoma in situ of the breast: how much more breast cancer can we find? Ann. Intern. Med. 127, 1023–1028 (1997).
- Mutter, G. L. et al. Molecular identification of latent precancers in histologically normal endometrium. Cancer Res. 61, 4311–4314 (2001).
- Bach, P. B. et al. Computed tomography screening and lung cancer outcomes. J. Am. Med. Assoc. 297, 953–961 (2007).
- Balkwill, F. & Coussens, L. M. Cancer: an inflammatory link. Nature 431, 405–406 (2004).
- 24. Lin, W. W. & Karin, M. A cytokine-mediated link between innate immunity, inflammation, and cancer. J. Clin. Invest. 117, 1175–1183 (2007).
- Smyth, M. J. et al. Differential tumor surveillance by natural killer (NK) and NKT cells. J. Exp. Med. 191, 661–668 (2000).

Supplementary Information is linked to the online version of the paper at www.nature.com/nature.

Acknowledgements The authors are grateful for the advice of E. Unanue, G. Dunn, H. Virgin, P. Allen, M. Colonna, J. Trapani, R. Uppaluri, J. Bui and all members of the Schreiber laboratory during the preparation of this manuscript. We also greatly appreciate the technical assistance of C. Arthur, M. White, J. Archambault and J. Sharkey. This work was supported by grants to R.D.S. from the National Cancer Institute, the Ludwig Institute for Cancer Research, the Cancer Research Institute and Atlantic Philanthropies, and to M.J.S. from the National Health and Medical Research Council of Australia for Fellowship and Program Grant Support. C.M.K. was supported by a pre-doctoral training grant from the Cancer Research Institute. J.B.S. was supported by an Australian Postgraduate Research Award.

Author Contributions The work in this paper reflects an equal contribution from the M.J.S and R.D.S. laboratories. C.M.K., M.J.S. and R.D.S. were involved in all aspects of experimental work, project planning and data analysis. W.V. and S.J.R. were responsible for performing and interpreting the histological analyses. L.J.O. and J.B.S. participated in project planning and N.Z. was involved in the experimental work.

Author Information Reprints and permissions information is available at www.nature.com/reprints. Correspondence and requests for materials should be addressed to R.D.S. (schreiber@immunology.wustl.edu) or M.J.S. (mark.smyth@petermac.org).

METHODS

Mice. Wild-type C57BL/6 mice were purchased from the Walter and Eliza Hall Institute of Medical Research and $Rag1^{-/-}$ C57BL/6 mice were bred at the Peter MacCallum Cancer Centre. Wild-type and $Rag2^{-/-}$ 129/SvEv mice were purchased from Taconic Farms and entered into the experiments at 8 to 12 weeks old. Mice were housed according to the American Association for Laboratory Animal Science conditions in specific pathogen-free facilities at the Washington University School of Medicine, St. Louis, Missouri and the Peter MacCallum Cancer Centre, East Melbourne, Victoria, Australia.

Reagents. Anti-CD4 (GK1.5)²⁶, anti-CD8 (YTS-169.4)²⁷ anti-IFN γ (H22)²⁸, anti-NKG2D (C7)²⁹, anti-NK1.1 (PK136)³⁰, anti-TRAIL (N2B2)³¹, anti-IL-12p40 (C17.8)³² and control immunoglobulin (the PIP monoclonal antibody specific for glutathione *S*-transferase³³) were generated from spent culture supernatant or hybridoma ascites and purified in aggregate-, endotoxin-, and protein A-free form. Antibodies were injected at an initial dose of 250–750 µg each with a weekly (CD4, CD8, NK1.1, IFN γ) or biweekly (NKG2D, TRAIL, IL-12p40) maintenance dose of 250 µg of each.

MCA tumour induction. Groups of wild-type and $Rag1^{-/-}$ C57BL/6 mice were injected subcutaneously in the hind flank with 5 or 25 µg of MCA in 0.10 ml of corn oil, as described³⁴. Mice were monitored every 7 days for tumour development from 70 days after MCA treatment. Tumours >0.5 cm² in area and demonstrating progressive growth were recorded as 'tumour positive'. Wild-type and $Rag2^{-/-}$ 129/SvEv mice were injected subcutaneously in the flank with 25 µg MCA (Sigma Fine Chemicals) dissolved in 0.15 ml of peanut oil (with gentle heating), as described³⁵. Mice were monitored every 7 days for tumour development from 90 days after MCA treatment. 129/SvEv mice were considered tumour positive when masses reached an average diameter of 9 mm and continued to grow progressively.

Cell lines. Cell lines were created by mechanical disruption of tissue, followed by a 1 h treatment with collagenase Type IA (0.5 mg ml^{-1}) and culture in endotoxin-low RPMI medium (<0.01 EU ml⁻¹) supplemented with 10% fetal calf serum (0.25 EU ml⁻¹).

Tumour transplantation. Before use, a vial of frozen sarcoma cells was thawed and cultured *in vitro* in RPMI medium supplemented with 10% fetal calf serum for two passages. Tumour cells were collected by incubation in 0.25% trypsin, washed two times in RPMI medium and one time in endotoxin-free PBS and then injected subcutaneously in a volume of 0.15 ml PBS into the shaved flanks of mice. All injected cell lines were more than 90% viable, as determined by trypan blue exclusion. Tumour growth was monitored by measuring two perpendicular diameters.

Histology, proliferation index and immunohistochemistry. Sections were obtained from formalin-fixed, paraffin-embedded tissue samples. For morphological evaluation, sections were stained in haemotoxylin and eosin. The proliferation index was calculated from tissue sections stained using an indirect immunoperoxidase technique that employed as primary antibody the clone Tec-3 (DakoCytomation, 1:25 dilution), which is specific for the Ki-67 protein, a marker of proliferating cells, and a biotinylated rabbit anti-rat IgG secondary antibody (Vector Laboratories, 1:200 dilution). At least 200 atypical/neoplastic cells were counted and scored per sample. All other immunohistochemical stains were performed on formalin-fixed, paraffin-embedded $4\,\mu$ m tissue sections using standard techniques. The following primary antibodies were used: anti-vimentin (Abcam, ab28028, 1:100 dilution following proteinase K digestion for 15 min.), anti-CD3 (Cell Marque, CMC363, 1:1,500 dilution), anti-CD45R/B220 (BD Pharmingen, no. 550286, 1:200 dilution), and anti-F4/80 antigen (Serotec, MCA497, 1:5,000 dilution following proteinase K digestion for 10 min.). Chromogenic terminal-deoxynucleotidyl-transferase-mediated nick-end labelling (TUNEL) staining with methyl green counterstaining was done as per the manufacturer's instructions (ApopTag Peroxidase In situ Kit, no. S7100, Chemicon International).

Statistical analysis. Fisher's exact test was used to determine the significance of the association between two variables (tumour development and antibody treatment) in a 2×2 contingency table. The Mann–Whitney rank sum test was used to assess whether two samples of observations (time to tumour formation and proliferation index) come from the same distribution, without assuming equal variances between the two populations.

- Dialynas, D. P. et al. Characterization of the murine antigenic determinant, designated L3T4a, recognized by monoclonal antibody GK1.5: expression of L3T4a by functional T cell clones appears to correlate primarily with class II MHC antigen-reactivity. *Immunol. Rev.* 74, 29–56 (1983).
- Cobbold, S. P., Jayasuriya, A., Nash, A., Prospero, T. D. & Waldmann, H. Therapy with monoclonal antibodies by elimination of T-cell subsets *in vivo. Nature* 312, 548–551 (1984).
- Schreiber, R. D., Hicks, L. J., Celada, A., Buchmeier, N. A. & Gray, P. W. Monoclonal antibodies to murine γ-interferon which differentially modulate macrophage activation and antiviral activity. *J. Immunol.* **134**, 1609–1618 (1985).
- 29. Ho, E. L. *et al.* Costimulation of multiple NK cell activation receptors by NKG2D. *J. Immunol.* **169**, 3667–3675 (2002).
- Koo, G. C. & Peppard, J. R. Establishment of monoclonal anti-Nk-1.1 antibody. *Hybridoma* 3, 301–303 (1984).
- Kayagaki, N. et al. Expression and function of TNF-related apoptosis-inducing ligand on murine activated NK cells. J. Immunol. 163, 1906–1913 (1999).
- Wysocka, M. et al. Interleukin-12 is required for interferon-γ production and lethality in lipopolysaccharide-induced shock in mice. *Eur. J. Immunol.* 25, 672–676 (1995).
- Dunn, G. P. et al. A critical function for type I interferons in cancer immunoediting. Nature Immunol. 6, 722–729 (2005).
- Smyth, M. J. et al. Differential tumor surveillance by natural killer (NK) and NKT cells. J. Exp. Med. 4, 661–668 (2000).
- Shankaran, V. et al. IFNγ and lymphocytes prevent primary tumour development and shape tumour immunogenicity. Nature 410, 1107–1111 (2001).